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Docket No. C0464/09380

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC511 U.S. TO
09/006839
01/12/98

In re Patent Application of)

Paul SIDIKMAN et al.)

Art Unit: 2765
2411

Serial No. 08/483,710)

Examiner: to be assigned

Filed: June 7, 1995)

For: METHOD AND SYSTEM FOR)
PROVIDING INTEGRATED BROKERAGE)
AND OTHER FINANCIAL SERVICES)
THROUGH CUSTOMER ACTIVATED)
TERMINALS)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. §§1.56 and 1.97-1.99, the documents listed on the attached Form PTO-1449 is being brought to the attention of the Examiner in charge of the captioned application.

Applicants hereby submit the reference listed on Form PTO-1449 addressed to the captioned application.

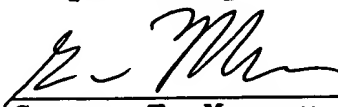
The Examiner is respectfully requested to initial in the space adjacent to the listing of each document on Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that this document has been considered by the Examiner and made of record in this application.

Since this statement is being filed before the first Office Action has been mailed, no fees are believed to be necessary. Please charge any additional fees or credit any overpayment to the applicant's representative Deposit Account No. 11-0855.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned.

Respectfully submitted,



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